

FILTRA CONSULTANTS AND ENGINEERS LIMITED

(CIN: L41000MH2011PLC217837)

Seventh Annual Report 2017-18



ANNEXURE 1

FORM NO. MGT 9

EXTRACT OF ANNUAL RETURN

as on financial year ended on March 31, 2018

Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12(1) of the Company (Management & Administration) Rules, 2014

I	REGISTRATION & OTHER DETAILS:		
I	CIN	L41000MH2011PLC217837	
ii	Registration Date	24-May-2011	
iii	Name of the Company	FILTRA CONSULTANTS AND ENGINEERS LIMITED	
iv	Category/Sub-category of the Company	Company Limited by shares / Indian Non Government Company	
V	Address of the Registered office & contact details	1501, Synergy Business Park, Sahakar Wadi, Next to Synthofine Indus. Estate, Off Aarey Rd, Goregaon (E), Mumbai - 400063, Tel No.: 022-6189 8700, E-mail - filtraw@gmail.com	
vi	Whether listed Company	Yes	
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Bigshare Services Private Limited, 1 st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri East, Mumbai - 400059, Tel: 022 - 62638200, E-mail: investor@bigshareonline.com	

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (Financial Year 2017-18)

All the business activities contributing 10% or more of the total turnover of the Company shall be stated

SL No	Name & Description of main products/ services	NIC Code of the Product /service	% to total turnover of the Company
1	Water Filter Items/components	46599	100.00%

III PARTICULARS OF HOLDING , SUBSIDIARY & ASSOCIATE COMPANIES

SI No	Name & Address of the Company	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION
NO HOLDING , SUBSIDIARY & ASSOCIATE COMPANIES					

FILTRA CONSULTANTS AND ENGINEERS LIMITED

(CIN: L41000MH2011PLC217837)

Seventh Annual Report 2017-18



(2) Non Institutions									
a) Bodies corporates									
i) Indian	165000	0	165000	2.41	135000	0	135000	1.97	(0.44)
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs.1 lakh	577540	0	577540	8.43	708790	0	708790	10.34	1.91
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakh	915000	0	915000	13.35	967500	0	967500	14.12	0.77
c) Others (specify)									
Clearing Member	180045	0	180045	2.63	30035	0	30035	0.44	(2.19)
Non-resident Indians (NRI)	15000	0	15000	0.22	11250	0	11250	0.16	(0.05)
SUB TOTAL (B)(2):	1852585	0	1852585	27.04	1852575	0	1852575	27.04	(0.00)
Total Public Shareholding (B)= (B)(1)+(B)(2)	1852585	0	1852585	27.04	1852575	0	1852575	27.04	(0.00)
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	6852500	0	6852500	100.00	6852500	0	6852500	100.00	0.00

(ii) SHARE HOLDING OF PROMOTERS (Financial Year 2017-18)

Sl No.	Shareholders Name	Shareholding at the beginning of the year (01.04.2017)			Shareholding at the end of the year (31.03.2018)			% change in share holding during the year
		No. of shares	% of total shares of the Company	% of shares pledged/encumbered to total shares	No. of shares	% of total shares of the Company	% of shares pledged/encumbered to total shares	
1	Mr. Ketan Khant	3750000	54.72	0	3750000	54.72	0	0.00
2	Mrs. Anjali Khant	1249905	18.24	0	1249915	18.24	0	0.02
3	Mr. Ashfak Mulla	10	0.00	0	10	0.00	0	0.00
	Total	4999915	72.96	0	4999925	72.96	0	0.00

FILTRA CONSULTANTS AND ENGINEERS LIMITED

(CIN: L41000MH2011PLC217837)

Seventh Annual Report 2017-18



(iii) CHANGE IN PROMOTERS' SHAREHOLDING (SPECIFY IF THERE IS NO CHANGE) (Financial Year 2017-18)

Sl. No.	Name of Shareholder	Shareholding at the beginning of the Year (01.04.2017)		Date	Increase/ Decrease in Shareholding	Reason	Cumulative Share holding during the year (01.04.17 to 31.03.18)	
		No. of Shares	% of total shares of the Company				No. of shares	% of total shares of the Company
a) Mr. Ketan Khant								
	At the beginning of the year	3750000	54.72	01.04.2017				
	Change during the year			-	NA	-	-	-
	At the end of the year			31.03.2018			3750000	54.72

b) Mrs. Anjali Khant								
	At the beginning of the year	1249905	18.24	01.04.2017				
	Change during the year			29.09.2017	Decrease	Transfer	59	0.00
				19.01.2018	Increase	Transfer	69	0.00
	At the end of the year			31.03.2018			1249915	18.24

c) Mr. Ashfak Mulla								
	At the beginning of the year	10	0.00	01.04.2017				
	Change during the year			-	NA	-	-	-
	At the end of the year			31.03.2018			10	0.00

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs) (Financial Year 2017-18) (Who were Top 10 shareholders as on 01.04.2017 or 31.03.2018 is considered)

Sl. No	Name of Shareholder	Shareholding at the beginning of the Year (01.04.2017)		Date	Increase/ Decrease in Shareholding	Reason	Cumulative Share holding during the year (01.04.17 to 31.03.18)	
		No. of Shares	% of total shares of the Company				No. of Shares	% of total shares of the Company
1	Mr. Anantkrishnan Narayanan							
	At the beginning of the year	195000	2.85	01.04.2017				
	Change during the year			-	NA	-	-	-
	At the end of the year			31.03.2018			195000	2.85

FILTRA CONSULTANTS AND ENGINEERS LIMITED

(CIN: L41000MH2011PLC217837)

Seventh Annual Report 2017-18



2 M/s. Pantomath Stock Brokers Private Limited

At the beginning of the year	150000	2.19	01.04.2017				
Change during the year			21.04.2017	15000	Buy	165000	2.41
			05.05.2017	-15000	Sell	150000	2.19
			12.05.2017	22500	Buy	172500	2.52
			19.05.2017	-7500	Sell	165000	2.41
			26.05.2017	-7500	Sell	157500	2.30
			02.06.2017	22500	Buy	180000	2.63
			09.06.2017	7500	Buy	187500	2.74
			16.06.2017	7500	Buy	195000	2.85
			30.06.2017	15000	Buy	210000	3.06
			07.07.2017	-15000	Sell	195000	2.85
			14.07.2017	-7500	Sell	187500	2.74
			21.07.2017	15000	Buy	202500	2.96
			18.08.2017	22500	Buy	225000	3.28
			25.08.2017	-7500	Sell	217500	3.17
			01.09.2017	15000	Buy	232500	3.39
			08.09.2017	15000	Buy	247500	3.61
			15.09.2017	22500	Buy	270000	3.94
			29.09.2017	-30000	Sell	240000	3.50
			13.10.2017	15000	Buy	255000	3.72
			20.10.2017	7500	Buy	262500	3.83
			31.10.2017	-52500	Sell	210000	3.06
			03.11.2017	-7500	Sell	202500	2.96
			10.11.2017	-105000	Sell	97500	1.42
			17.11.2017	-15000	Sell	82500	1.20
			24.11.2017	7500	Buy	90000	1.31
			01.12.2017	-60000	Sell	30000	0.44
			08.12.2017	7500	Buy	37500	0.55
		15.12.2017	7500	Buy	45000	0.66	
		22.12.2017	-7500	Sell	37500	0.55	
		29.12.2017	30000	Buy	67500	0.99	
		05.01.2018	-60000	Sell	7500	0.11	
		02.03.2018	-7500	Sell	0	0.00	
		16.03.2018	7500	Buy	7500	0.11	
		23.03.2018	30000	Buy	37500	0.55	
		30.03.2018	-7500	Sell	30000	0.44	
		31.03.2018	-7500	Sell	22500	0.33	
At the end of the year			31.03.2018			22500	0.33

It was Top 10 shareholder as on April 1, 2017 subsequently it ceases and again become Top 10 Shareholder on various occasion. As on March 31, 2018 it was not Top 10 shareholder.

FILTRA CONSULTANTS AND ENGINEERS LIMITED

(CIN: L41000MH2011PLC217837)

Seventh Annual Report 2017-18



3 Ms. Vaishali Juthani

	At the beginning of the year	120000	1.75	01.04.2017				
	Change during the year			-	NA	-	-	-
	At the end of the year			31.03.2018			120000	1.75

4 M/s. ECAP Equities Limited

	At the beginning of the year	82500	1.20	01.04.2017				
	Change during the year			28.04.2017	-7500	Sell	75000	1.09
				12.05.2017	-7500	Sell	67500	0.99
				26.05.2017	-7500	Sell	60000	0.88
				02.06.2017	-15000	Sell	45000	0.66
				10.11.2017	-45000	Sell	0	0.00
	At the end of the year			31.03.2018			0	0.00

It was Top 10 shareholder as on April 1, 2017. On 10.11.2017 it ceases to be Top 10 Shareholder.

5 Mr. Sumit Bajoria

	At the beginning of the year	75000	1.09	01.04.2017				
	Change during the year			-	NA	-	-	-
	At the end of the year			31.03.2018			75000	1.09

6 M/s. Thar Commodities Private Limited

	At the beginning of the year	0	0.00	01.04.2017				
	Change during the year			23.03.2017	75000	Buy	75000	1.09
	At the end of the year			31.03.2018			75000	1.09

It was not Top 10 Shareholders but become Top 10 Shareholders as on 23.03.2017.

7 Mr. Darshan Viraj Mehta

	At the beginning of the year	37500	0.55	01.04.2017				
	Change during the year			21.04.2017	-15000	Sell	22500	0.33
				16.06.2017	-22500	Sell	0	0.00
	At the end of the year			31.03.2018			0	0.00

He was Top 10 shareholder as on April 1, 2017. On 21.04.2017 he ceases to be Top 10 Shareholders.

8 Mr. Vijay Sobhalal Shah

	At the beginning of the year	37500	0.55	01.04.2017				
	Change during				-	NA	-	-

FILTRA CONSULTANTS AND ENGINEERS LIMITED

(CIN: L41000MH2011PLC217837)

Seventh Annual Report 2017-18



	the year							
	At the end of the year			31.03.2017			37500	0.55

9 Mr. Nainesh Navinchandra Shah

	At the beginning of the year	30000	0.44	01.04.2017				
	Change during the year			27.10.2017	7500	Buy	37500	0.55
				09.02.2018	-7500	Sell	30000	0.44
				30.03.2018	-15000	Sell	15000	0.22
	At the end of the year			31.03.2018			15000	0.22

He was Top 10 shareholder as on April 1, 2017. On 30.03.2018 he ceases to be Top 10 Shareholders.

10 Mr. Milan Mehta

	At the beginning of the year	30000	0.44	01.04.2017				
	Change during the year			21.04.2017	-7500	Sell	22500	0.33
				05.05.2017	-7500	Sell	15000	0.22
				02.06.2017	-7500	Sell	7500	0.11
				11.08.2017	-7500	Sell	0	0.00
	At the end of the year			31.03.2018			0	0.00

He was Top 10 shareholder as on April 1, 2017. On 21.04.2017 he ceases to be Top 10 Shareholders.

11 Ms. Nayanaben Navin Shah

	At the beginning of the year	30000	0.44	01.04.2017				
	Change during the year			-	-	NA	-	-
	At the end of the year			31.03.2018			30000	0.44

12 Mr. Anuj Gupta

	At the beginning of the year	0	0.00	01.04.2017				
	Change during the year			21.04.2017	7500	Buy	7500	0.11
				12.05.2017	7499	Buy	14999	0.22
				19.05.2017	7500	Buy	22499	0.33
				26.05.2017	7500	Buy	29999	0.44
				23.06.2017	1	Buy	30000	0.44
	At the end of the year			31.03.2018			30000	0.44

He was not Top 10 shareholder as on April 1, 2017 but become Top 10 Shareholders on 23.06.2017.

13 Mr. Pathik Jaysukhlal Gopani

	At the beginning of the year	30000	0.44	01.04.2017				
	Change during the year			-	-	NA	-	-

FILTRA CONSULTANTS AND ENGINEERS LIMITED

(CIN: L41000MH2011PLC217837)

Seventh Annual Report 2017-18



	At the end of the year			31.03.2018			30000	0.44
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14 Manoj Mittal (HUF)

	At the beginning of the year	0	0.00	01.04.2017				
	Change during the year			23.06.2017	30000	Buy	30000	0.44
	At the end of the year			31.03.2018			30000	0.44

It was not Top 10 shareholder as on April 1, 2017 but become Top 10 Shareholders on 23.06.2017.

15 Mr. Deepak Kanwarlal Jain

	At the beginning of the year	0	0.00	01.04.2017				
	Change during the year			30.03.2018	30000	Buy	30000	0.44
	At the end of the year			31.03.2018			30000	0.44

He was not Top 10 shareholder as on April 1, 2017 but become Top 10 Shareholders on 20.03.2018.

16 M/s. Sandhyamani Associates PVT. LTD

	At the beginning of the year	30000	0.44	01.04.2017				
	Change during the year			26.05.2017	-15000	Sell	15000	0.22
				02.06.2017	-15000	Sell	0	0.00
	At the end of the year			31.03.2018			0	0.00

It was Top 10 shareholder as on April 1, 2017 but cease to be Top 10 Shareholders on 26.05.2017.

(v) Shareholding of Directors & Key Managerial Personnel (Financial Year 2017-18)

Sl. No	Name of Shareholder	Shareholding at the beginning of the Year (01.04.2017)		Date	Increase/Decrease in Shareholding	Reason	Cumulative Share holding during the year (01.04.17 to 31.03.18)	
		No. of Shares	% of total shares of the Company				No. of Shares	% of total shares of the Company

a) Mr. Ketan Khant (Managing Director)

	At the beginning of the year	3750000	54.72	01.04.2017				
	Change during the year			-	NA	-	-	-
	At the end of the year			31.03.2018			3750000	54.72

b) Mrs. Anjali Khant (Whole-time Director)

	At the beginning of the year	1249905	18.24	01.04.2017				
	Change during the year			29.09.2017	-59	Transfer	1249846	18.24
				19.01.2018	69	Transfer	1249915	18.24
	At the end of the year			31.03.2018			1249915	18.24

FILTRA CONSULTANTS AND ENGINEERS LIMITED

(CIN: L41000MH2011PLC217837)

Seventh Annual Report 2017-18



c) Mr. Ashfak Mulla (Whole-time Director)								
	At the beginning of the year	10	0.00	01.04.2017				
	Change during the year			-	NA	-	-	-
	At the end of the year			31.03.2018			10	0.00

No other Director of KMP held any shares in the Company during the said financial year

(Amount in Rs.)

V INDEBTEDNESS (Financial Year 2017-18)				
Indebtedness of the Company including interest outstanding/accrued but not due for payment				
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)				
Change in Indebtedness during the financial year				
Additions	NIL			
Reduction				
Net Change				
Indebtedness at the end of the financial year				
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)				

FILTRA CONSULTANTS AND ENGINEERS LIMITED

(CIN: L41000MH2011PLC217837)

Seventh Annual Report 2017-18



VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole time Director and/or Manager: (Financial Year 2017-18)

(Amount in Rs.)

Sl.No	Particulars of Remuneration	Name of the MD/WTD/Manager			
		Mr. Ketan Khant, MD	Mrs. Anjali Khant, WTD	Mr. Ashfak Mulla, WTD	Total Amount
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income Tax. 1961.	39,60,000	32,40,000	21,60,000	93,60,000
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	0	0	0	0
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	0	0	0	0
2	Stock option	0	0	0	0
3	Sweat Equity	0	0	0	0
4	Commission				
	- as % of profit	0	0	0	0
	- others, specify	0	0	0	0
5	Others, please specify	0	0	0	0
	Total (A)	39,60,000	32,40,000	21,60,000	93,60,000
	Ceiling as per the Act	* In excess of limits specified under Section 197. As per Schedule V Part II Section II			

B. Remuneration to other directors: (Financial Year 2017-18)

(Amount in Rs.)

Sl. No	Particulars of Remuneration	Name of the Directors			Total Amount
		Mr. Abhay Nalawade	Mr. Haresh Malusare	Mr. Yogesh Tavkar	
1	Independent Directors				
	(a) Fee for attending board/ committee meetings	80,000	80,000	60,000	2,20,000
	(b) Commission	0	0	0	0
	(c) Others, please specify	0	0	0	0
	Total (1)	80,000	80,000	60,000	2,20,000
2	Other Non Executive Directors	No other Non Executive Directors on the Board			
	(a) Fee for attending board committee meetings	0	0	0	0
	(b) Commission	0	0	0	0
	(c) Others, please specify.	0	0	0	0
	Total (2)	0	0	0	0
	Total (B)=(1+2)	80,000	80,000	60,000	2,20,000
	Total Managerial Remuneration				95,80,000
	Overall Ceiling as per the Act	* In excess of limits specified under Section 197. As per Schedule V Part II Section II			

FILTRA CONSULTANTS AND ENGINEERS LIMITED

(CIN: L41000MH2011PLC217837)

Seventh Annual Report 2017-18



C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD (Financial Year 2017-18)

(Amount in Rs.)

Sl. No.	Particulars of Remuneration	Key Managerial Personnel		Total Amount
		Ms. Jinal Jain (CS)	Mr. Rudolf Corriea (CFO)	
1	Gross Salary			
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	4,21,000	6,26,626	10,47,626
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	0	0	0
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	0	0	0
2	Stock Option	0	0	0
3	Sweat Equity	0	0	0
4	Commission	0	0	0
	- as % of profit		-	
	- others, specify		-	
5	Others, please specify	0	0	0
	Total	4,21,000	6,26,626	10,47,626

VII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES (Financial Year 2017-18)

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority (RD/NCLT/Court)	Appeal made if any (give details)
A. COMPANY					
Penalty	-	-	-	-	-
Punishment	-	NIL			-
Compounding	-	-	-	-	-
B. DIRECTORS					
Penalty	-	-	-	-	-
Punishment	-	NIL			-
Compounding	-	-	-	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	-	-	-
Punishment	-	NIL			-
Compounding	-	-	-	-	-